


# Introduction of Seasonal Forecast Guidance



*Hiroshi OHNO  
Tokyo Climate Center (TCC)/  
Climate Prediction Division of  
Japan Meteorological Agency (JMA)*

# Outline

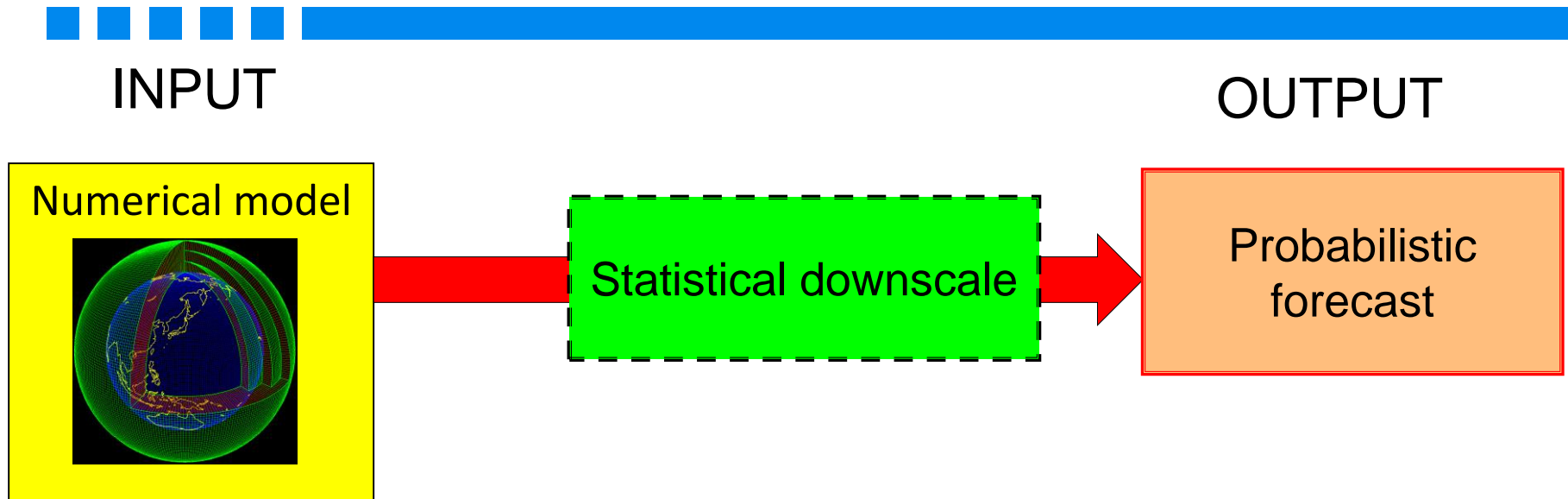
- Outline of Guidance
  - Objective of Guidance
  - MOS Technique
  - Regression Model
  - Estimation of Probability
- Predictors for Seasonal Forecast
- Verification
  - Verification Score



# Outline of Guidance



# Guidance



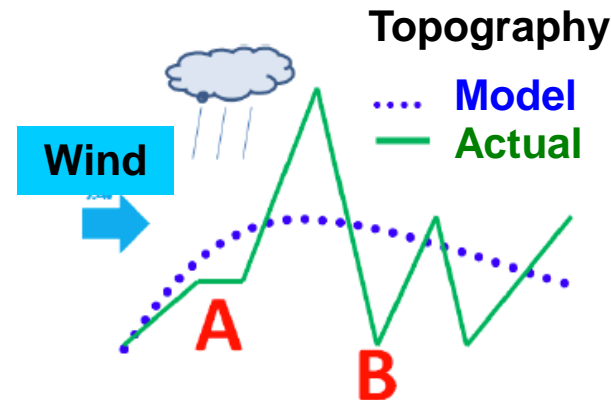
- “**Guidance**” is an application to translate model output values into target of forecasting.
- Principle of guidance is **based on statistical relationship** using model forecasts and observation data for past cases.

# Role of Guidance

- To extract effect of sub-grid scale topography
  - Model does not necessarily reproduce effect of local topography due to limited resolution.
- To reduce imperfection of the model, such as systematic error (bias error).
- To estimate degree of uncertainty, considering prediction skill



“Guidance” enable to improve prediction skill, compared with the direct model output.



- A:** Upwind side
  - Model may underestimate precipitation
- B:** Bottom of the valley
  - Model may have warming bias

# Principle of Guidance – MOS Technique

**MOS** (Model Output Statistics):

To derive statistical relationship between observation and model forecast from past cases, and apply it to the real-time forecast

➤ Two types of the time series data are needed in order to produce guidance.

- 1. Past observation (i.e., **Predictands**)
- 2. Past model forecast by hindcast (i.e., **Predictors**)

Prepared by users

On ITACS

# Concept of MOS Technique (1)

- **Statistical relationship** is estimated using observation and model forecast for past cases.

1

Producing guidance

Past observation

Regression model  
(guidance)

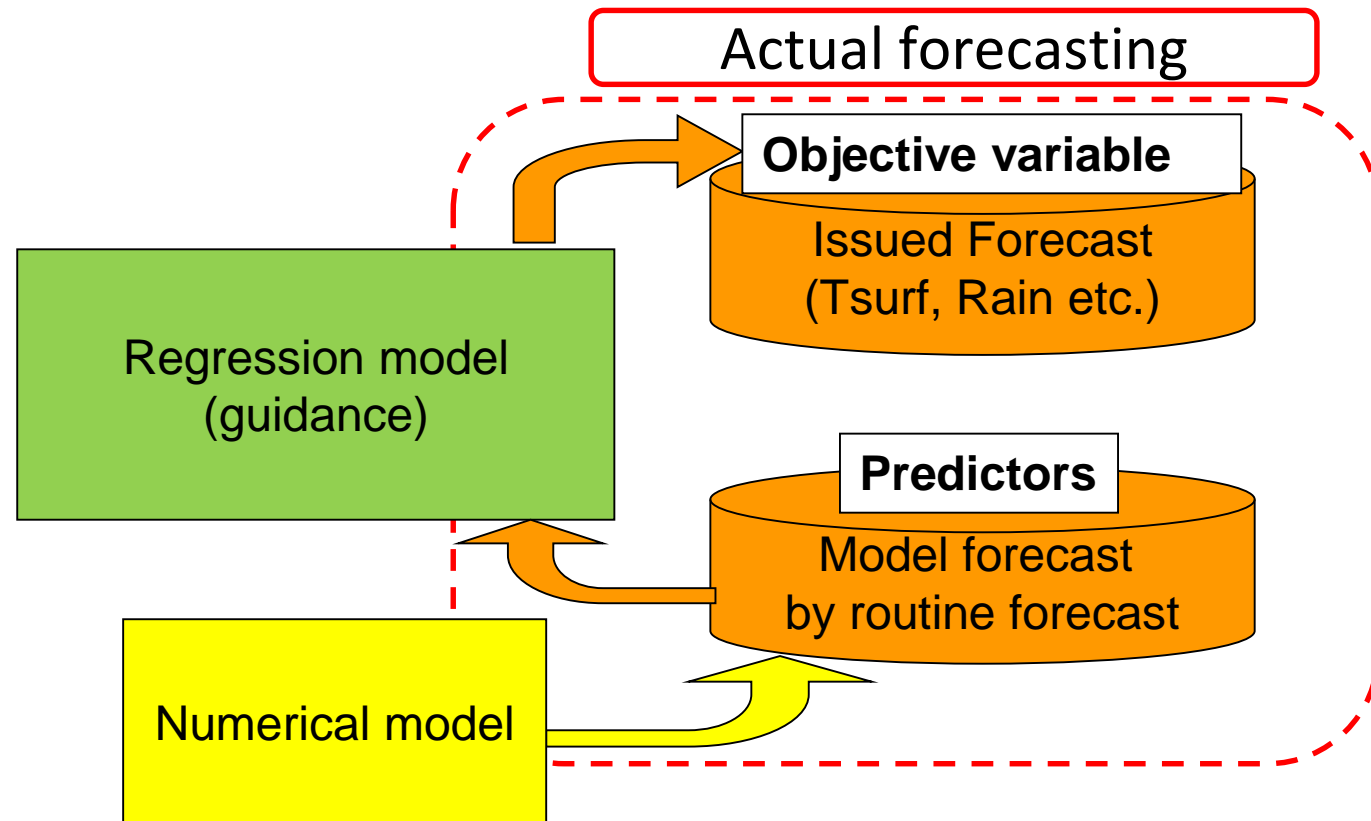
Model forecast  
by hindcast

Numerical model

# Concept of MOS Technique (2)

- In the real-time forecast, model results are applied to the **statistical relationship** to obtain objective variable

2





# Single Regression

- Single regression is the relationship between one explanatory variable (predictors) and objective variable (ex. temp. rainfall).
- Single regression model is written as

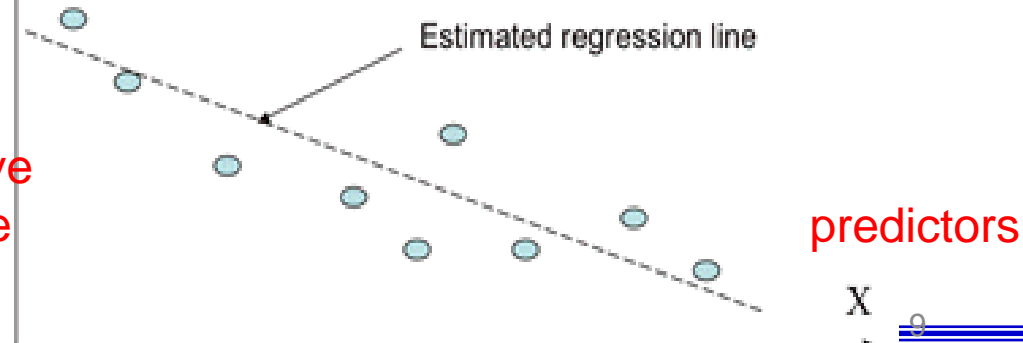
$$Y = aX + b + \varepsilon$$

Y: predictand X: predictor  
a: regression coefficient b: constant,  
 $\varepsilon$ : error term

Predictand  
(e.g., temp.,  
precipitation)

Predictor  
(i.e., model output)

objective  
variable



# Multiple Regression

- **More than one predictors** are employed in multiple regression.
- It is assumed that the objective variable is the **sum of a linear combination** of predictors.

Example: **two** predictors

$$Y = a_1X_1 + a_2X_2 + b + \varepsilon$$

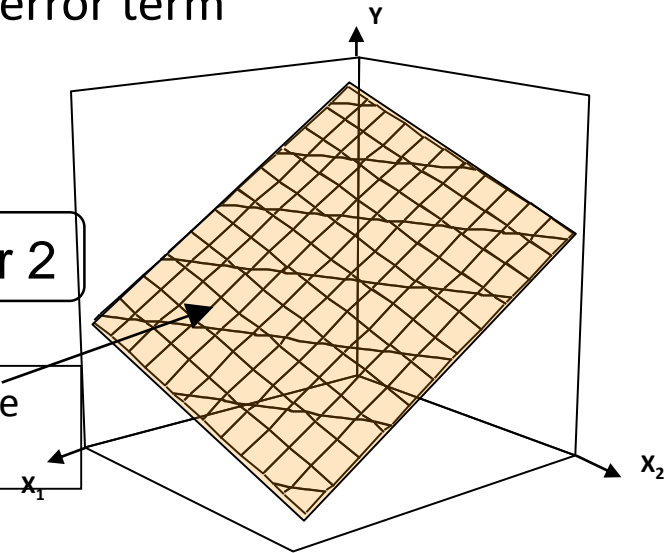
Predictand  
(e.g., temp.,  
precipitation)

Predictor 1  
(i.e., model output)

Predictor 2

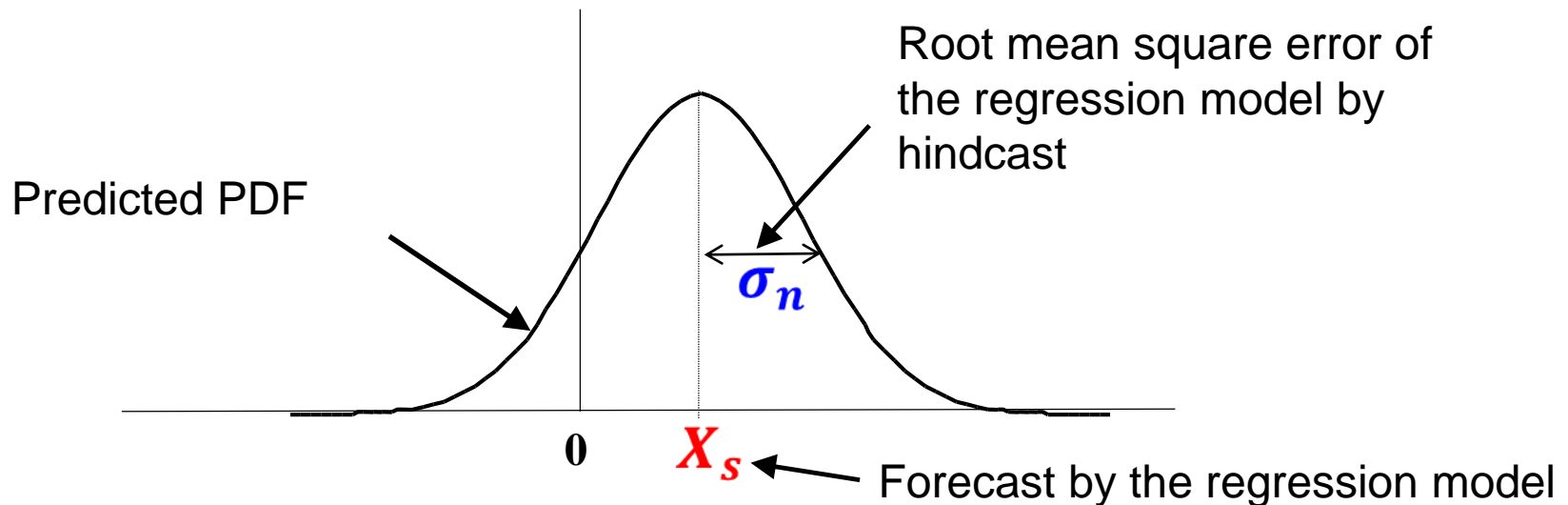
Predictand will be  
near this plane.

$a_1, a_2$ : regression coefficient  
 $b$ : constant  
 $\varepsilon$ : error term



# From Regression Model to Probability

- Probability Density Function (PDF) is assumed to be a normal distribution.
  - **Mean ( $x_s$ )**: Prediction value by the regression model
  - **Standard deviation ( $\sigma_n$ )**: RMSE of the regression model.

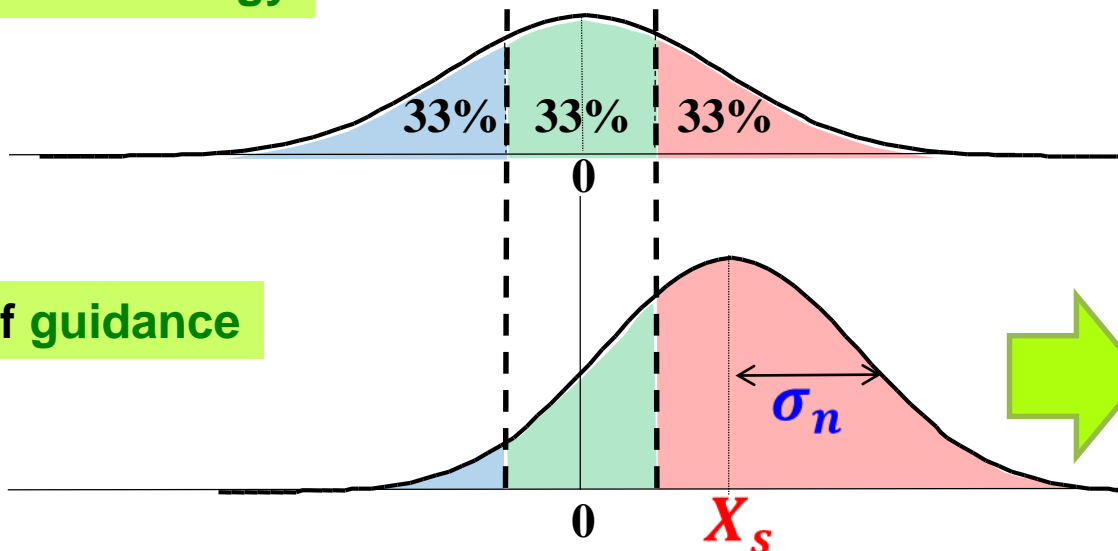


# Estimation of Probability for 3-category

- The threshold values for 3 categories are determined from the past observation (1981 to 2010).
- Probability for each category (below-, near-, above-normal) is calculated by PDF and the threshold values.

PDF of climatology

Below Normal Above



Issued Forecast

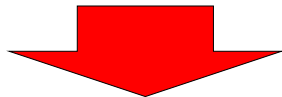
Below	Normal	Above
10%	30%	60%

# Normalization of Precipitation Data

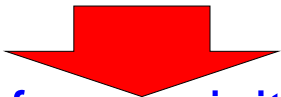
- **Normal distribution** is assumed in the regression model.
- As for **temperature**, its distribution is generally approximated by a normal distribution.

Meanwhile,

- As for **precipitation**, its distribution does not represent a normal distribution, and it's usually approximated by a gamma distribution.



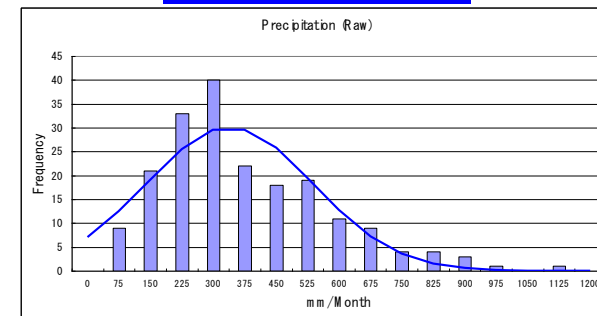
- In order to create guidance, precipitation data need to be normalized.



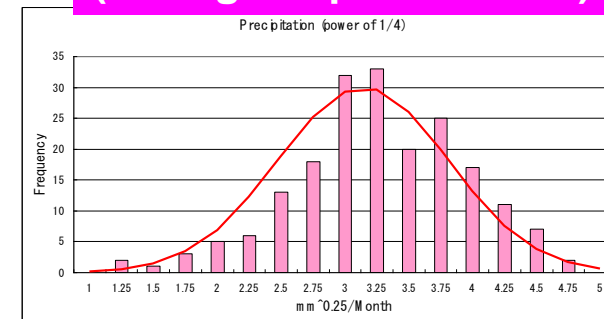
- **Power of 1/4 for precipitation** ( $\text{RAIN}^{1/4}$ ) is approximated by a normal distribution.

Ex. Precipitation over Japan

(Row value)



(Taking the power of 1/4)





# Predictors for Seasonal Forecast



# Selection of Predictors

In this seminar, you will select 1-3 indices as predictors to make guidance at your forecast point.

Indices	Variables	Areas
NINO3 SST	SST	(150W-90W, 5S-5N)
NINOWEST SST	SST	(130E-150E, EQ-15N)
IOBW SST	SST	(40E-100E, 20S-20N)
WIO SST	SST	(40E-70E, EQ-20N)
EIO SST	SST	(70E-100E, EQ-20N)
IOBW RAIN	RAIN	(40E-100E, 20S-20N)
WIO RAIN	RAIN	(40E-70E, EQ-20N)
EIO RAIN	RAIN	(70E-100E, EQ-20N)
SAMOI RAIN	RAIN	(80E-105E, 5N-25N) + (107.5E-140E, 5N-20N)
WNP RAIN	RAIN	(110E-160E, 10N-20N)
SEAsia RAIN	RAIN	(115E-140E, 10N-20N)
MC RAIN	RAIN	(110E-135E, 5S-5N)
DL RAIN	RAIN	(170E-170W, 5S-5N)
Z500 Z2030	500hPa Height	(0-360, 20N-30N)
Z500 Z3040	500hPa Height	(0-360, 30N-40N)
Z500 Z4050	500hPa Height	(0-360, 40N-50N)
Z500 Z5060	500hPa Height	(0-360, 50N-60N)
THICKMID (THMD)	Thickness Middle	(0-360, 30N-50N, 300hPa-850hPa)
THICKNH (THEX)	Thickness extratropics	(0-360, 30N-90N, 300hPa-850hPa)
THICKTRO (THTR)	Thickness tropics	(0-360, 25S-25N, 100hPa-850hPa)
NINO3.4SST	SST	(170W-120W, 5S-5N)

SST

RAIN

Z500

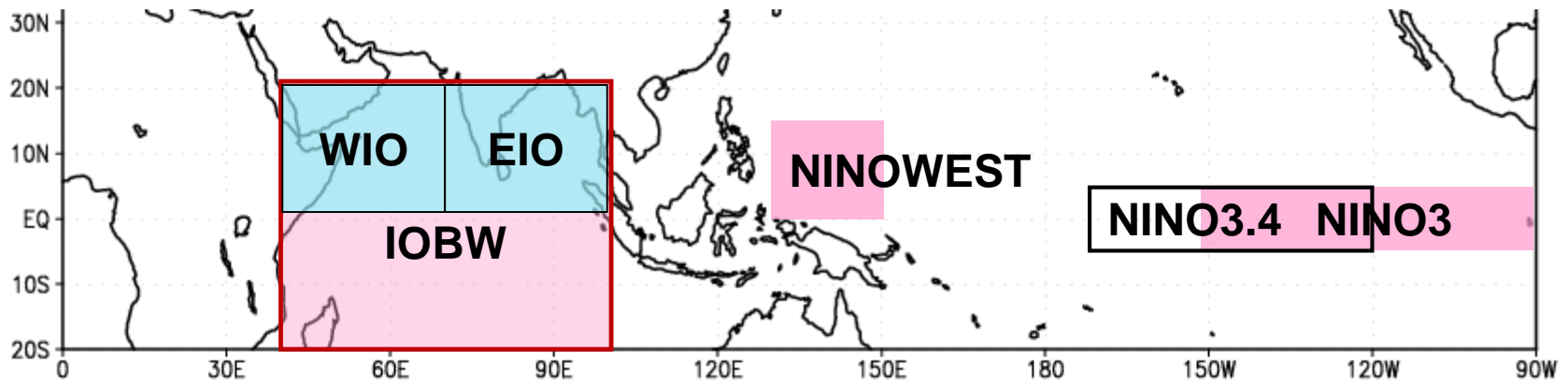
Thickness

SST

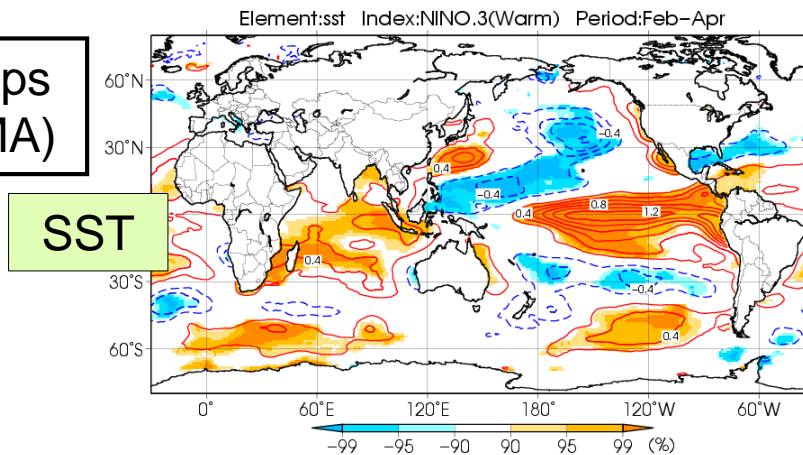
Hindcast and prediction data of each predictor are available on TCC website

# Predictors – SST

- These indices are associated with SST variabilities in the tropics such as ENSO, IOBW.



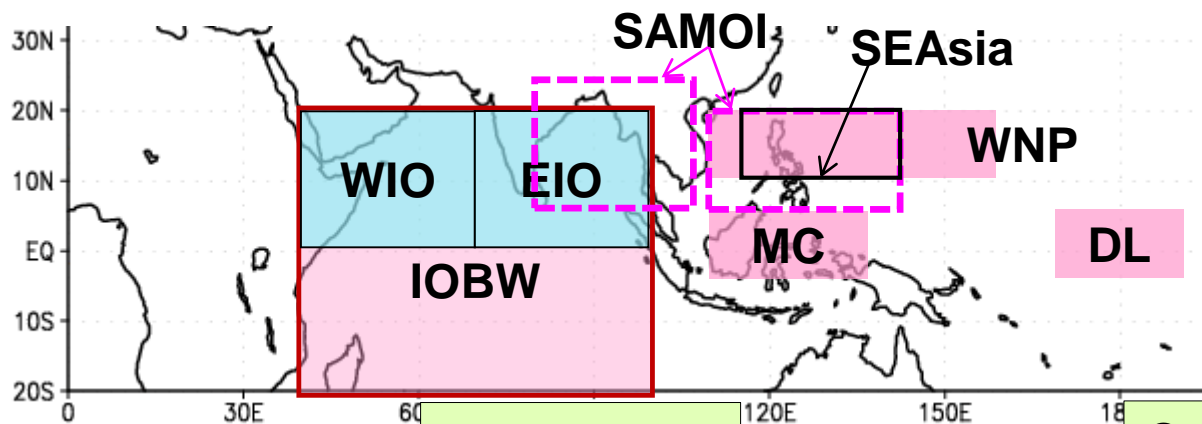
Composite maps  
for El Nino (FMA)



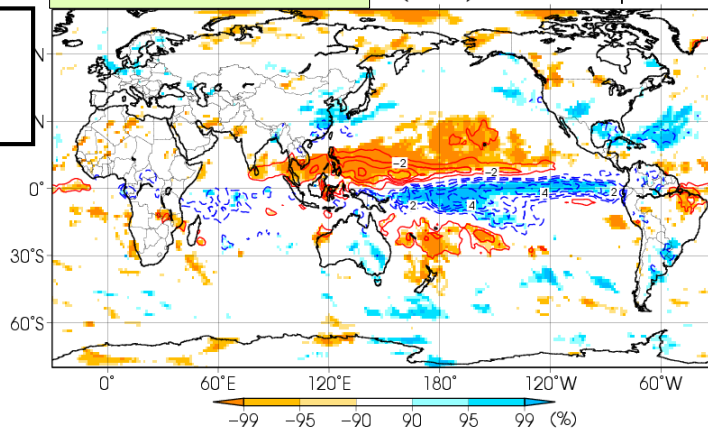


# Predictors – Rain

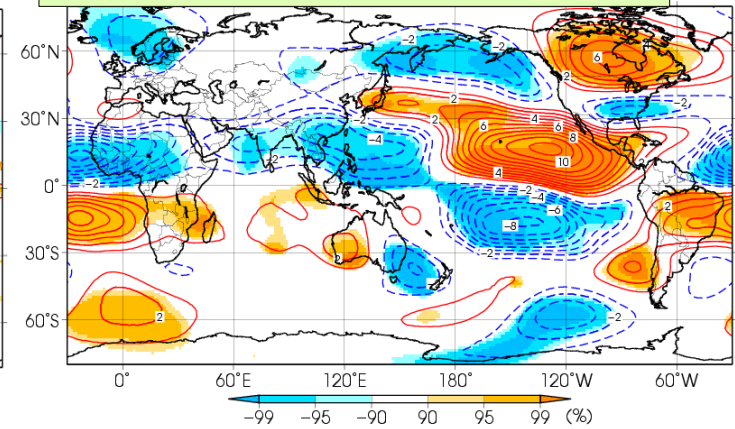
- These are associated with convective activity in the tropics, which affects the climate in mid-latitude as well as tropics.



Precipitation



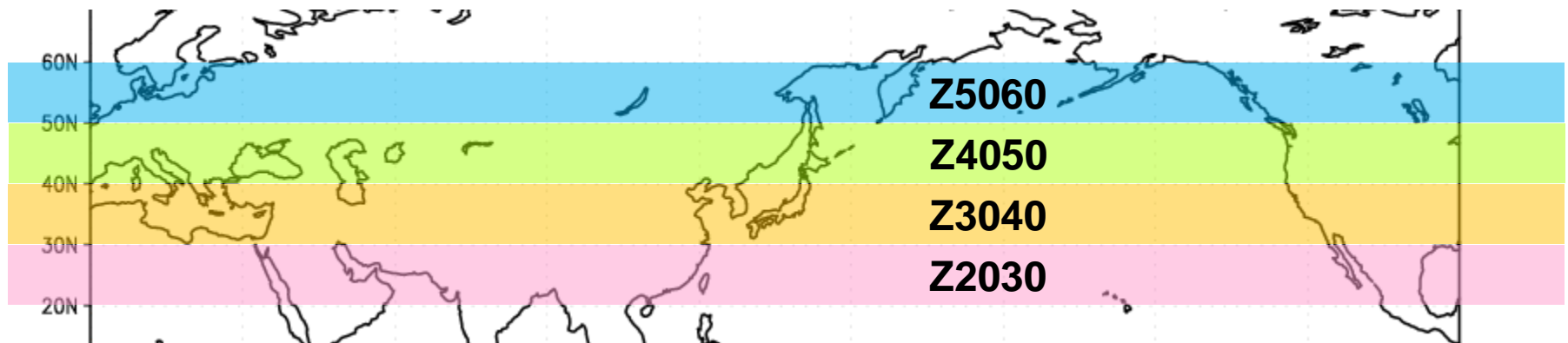
Stream Function at 200hPa



Composite maps for El Niño (FMA)

# Predictors – Z500

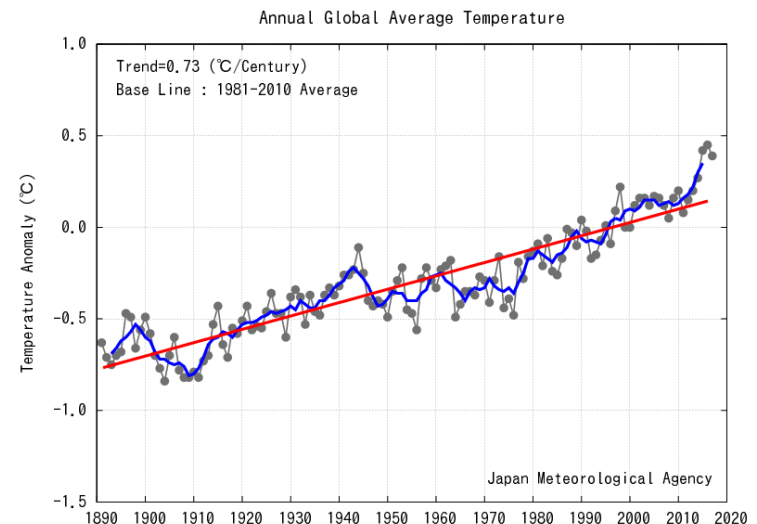
- These indices represent zonal-mean 500-hPa height (Z500) over mid-latitude bands.
- These are associated with atmospheric circulation over mid-latitude.



# Predictors – Thickness

- Thickness are defined as zonal mean difference of Z300 and Z850 (for tropics Z100 and Z850).
- These correspond to zonal mean temperature anomalies in the troposphere over mid-latitudes, extratropics, and tropics.
- These also show the signal of global warming.

<b>THICKMID (THMD)</b>	Mid-latitude	30-50N, 300-850hPa
<b>THICKNH (THEX)</b>	Extratropics	30-90N, 300-850hPa
<b>THICKTRO (THTR)</b>	Tropics	25S-25N, 100-850hPa





# Verification



# Verification for Deterministic Forecast

- Root Mean Square Error (RMSE)

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (F_i - O_i)^2}$$

$F_i$ : Forecast  
 $O_i$ : Observation  
 $C_i$ : Climatology  
 $N$ : Sample size

Perfect score: 0

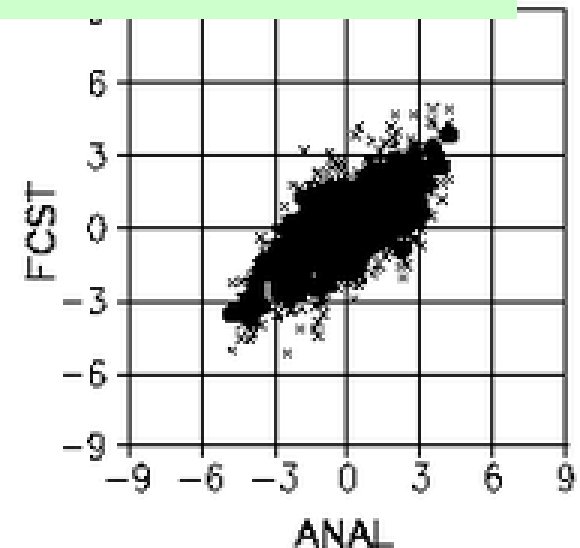
- Anomaly Correlation Coefficient (ACC)

$$ACC = \frac{\sum_{i=1}^N (F_i - C_i) (O_i - C_i)}{\sqrt{\sum_{i=1}^N (F_i - C_i)^2} \sqrt{\sum_{i=1}^N (O_i - C_i)^2}}$$

Range: -1 to 1.

Perfect score: 1

Correlation=+0.77



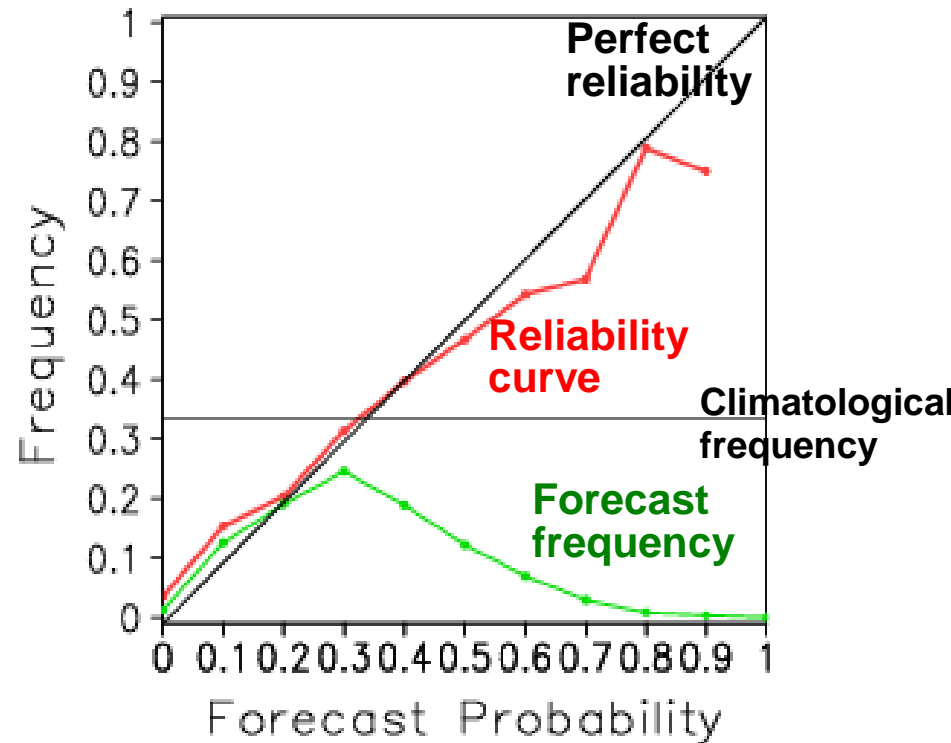
# Reliability Diagram

- **Red line (reliability curve);**  
 plotted the observed frequency(Y-axis) against the forecast probability(X-axis)

Probabilistic forecast becomes better the more the reliability curve fit to 45° line (perfect reliability).

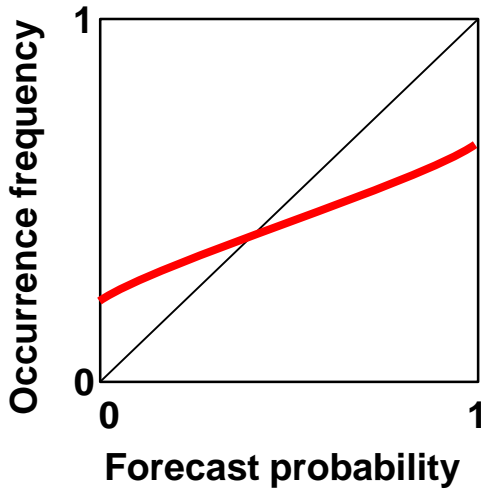
- **Green line** denotes forecast frequency (**sharpness diagram**);
  - If most of the forecast probabilities are near the climatological frequency = unsharp
  - If probabilities near 0 and 1 (100%) are often used = sharp

EJ (135.0–140.0,35.0N–37.5N)  
 BSS=7.807 Brel=99.3 Bres=8.42

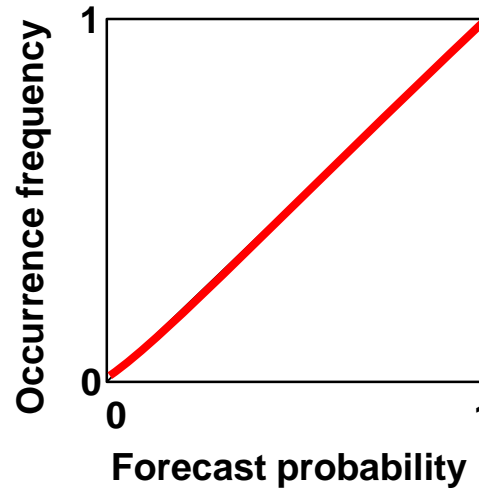


# Over/under Confidence

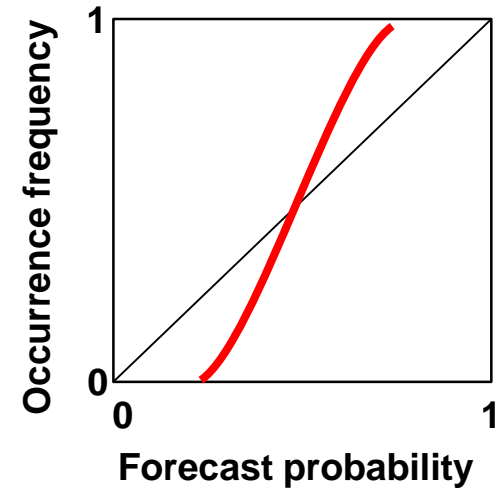
**Over confidence**



**Perfect reliability**



**Under confidence**



- ✓ Predicted probabilities are **overestimated** as compared with actual

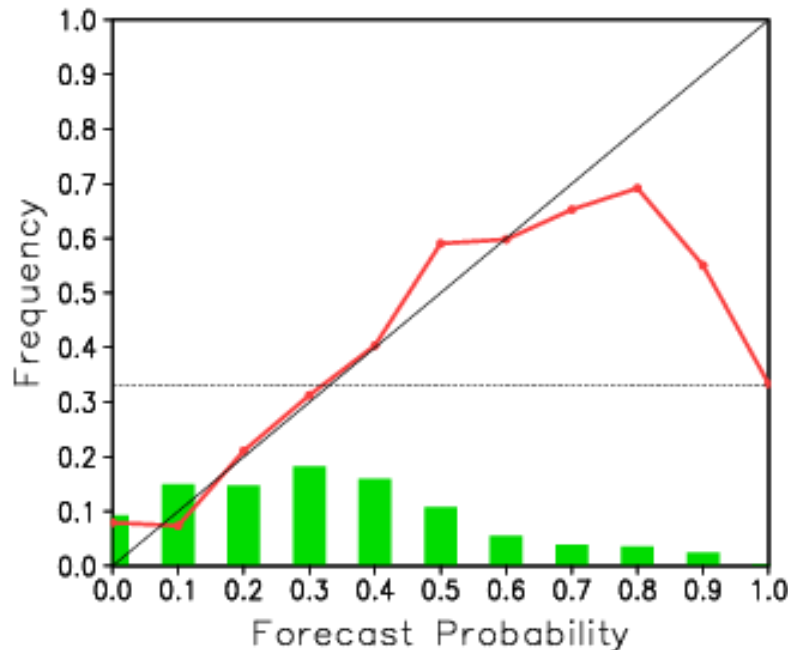
- ✓ Predicted probabilities are **underestimated** as compared with actual

# Probabilistic forecast Interpretation of Reliability Diagram

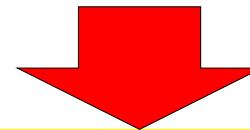
## Example

Surface Temperature (140E , 35N)

BSS=14.98    Brel=90.8    Bres=24.1



- The forecast is generally reliable for below 60%, while over-confident over 70%.



- ✓ Maximum probability should be suppressed under 60%



# Brier Score (BS)

- **Brier score** is mean squared error of the probability forecasts.

$$BS = \frac{1}{2N} \sum_{i=1}^N \sum_{m=1}^3 (p_i^m - o_i^m)^2$$

$p_i^m$  : forecast probability

$o_i^m$  : observed occurrence (0 or 1)

$N$  : forecast frequency

$m$  : category

Range: 0 to 1

Smaller score indicates better forecast (Perfect score: 0)

Forecast (Below, Near, Above): (0.1, 0.3, 0.6)

Observation: Above normal (0, 0, 1)

BS:  $\{(0.1-0)^2+(0.3-0)^2+(0.6-1)^2\}/2 = 0.13$

- **Brier skill score** is **skill** relative to a reference forecast (usually climatology).

$$BSS = 1 - \frac{BS}{BS_{reference}}$$

$$BS_r = \frac{1}{3}$$

- Perfect score: 1
- **BSS > 0** : better than the climatological forecast.
- **BSS < 0** : worse than the climatological forecast.

# Exercise for Making Guidance (Tomorrow)

- **Step 1:** Prepare 3-month mean (Feb.-Apr.) temperature and precipitation observation data for 1981-2010.
- **Step 2:** Select appropriate predictor(s) and make a regression model at your forecast point for Feb.-Apr.
- **Step 3:** Verify the forecast skill of the guidance.
- **Step 4:** Calculate the guidance for Feb.-Apr. 2018 with your regression model.